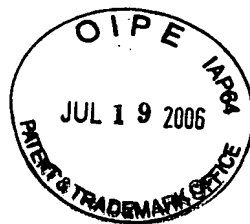


DOCKET NO.: MSFT-2839/301118.1



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Thakkthalackal Varugis Kurien, et al.

Confirmation No.: 2449

Application No.: 10/693,749

Group Art Unit: 2141

Filing Date: October 24, 2003

Examiner: Not Yet Assigned

**For: INTEGRATION OF HIGH-ASSURANCE FEATURES INTO AN
APPLICATION THROUGH APPLICATION FACTORING**

DATE OF DEPOSIT: 7-13-06

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

TYPED NAME: Peter M. Ullman
REGISTRATION NO.: 43,963

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers **14, 15** listed on the attached Form PTO-1449 are enclosed herewith.

- ☒ Copies of reference numbers **16, 17** on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

The reference(s) cited on the attached PTO-1449 form was/were cited in the International Search Report Written Opinion dated **May 9, 2006** that was received in the corresponding PCT application.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: 7-13-06



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Sheet 1 of 2

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		Docket No. MSFT-2839/301118.1	Application No. 10/693,749
		Applicant Thakkthallackal Varugis Kurien, et al.	
		Filing Date October 24, 2003	Group 2141
		Confirmation No. 2449	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	14	England, P. et al., "A Trusted Open Platform", <i>Computer</i> , July 2003, 55-62, XP-002375734	
	15	Garfinkel, T., "Terra: A Virtual Machine-Based Platform for Trusted Computing", <i>ACM SOSOP. Proceedings of the ACM Symposium on Operating Systems Principles</i> , October 19-22, 2003, 193-206, XP-002340992	
EXAMINER		DATE CONSIDERED	

Form PTO-1449 Modified

List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)

U.S. Department of Commerce
Patent and Trademark Office

Docket No.
MSFT-2839/301118.1

Application No.
10/693,749

Applicant
Thakkthalackal Varugis Kurien, et al.

Filing Date
October 24, 2003

Group
2141

Confirmation No.
2449

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	16	6,006,332	12/21/99	Rabne, et al.	713	201
	17	6,490,720 B1	12/03/02	Carlsen, U. et al.	717	127

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO

EXAMINER**DATE CONSIDERED**